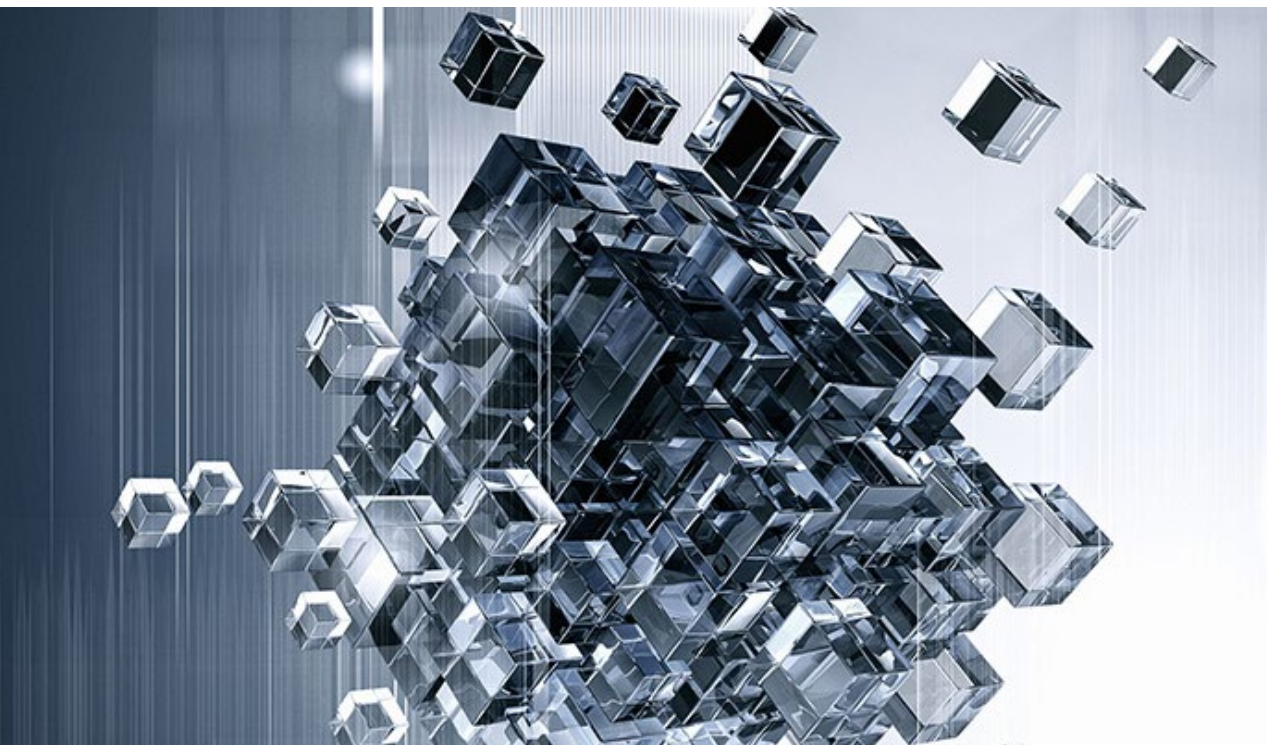


*Health data drives innovation*



*Learning Health Community*

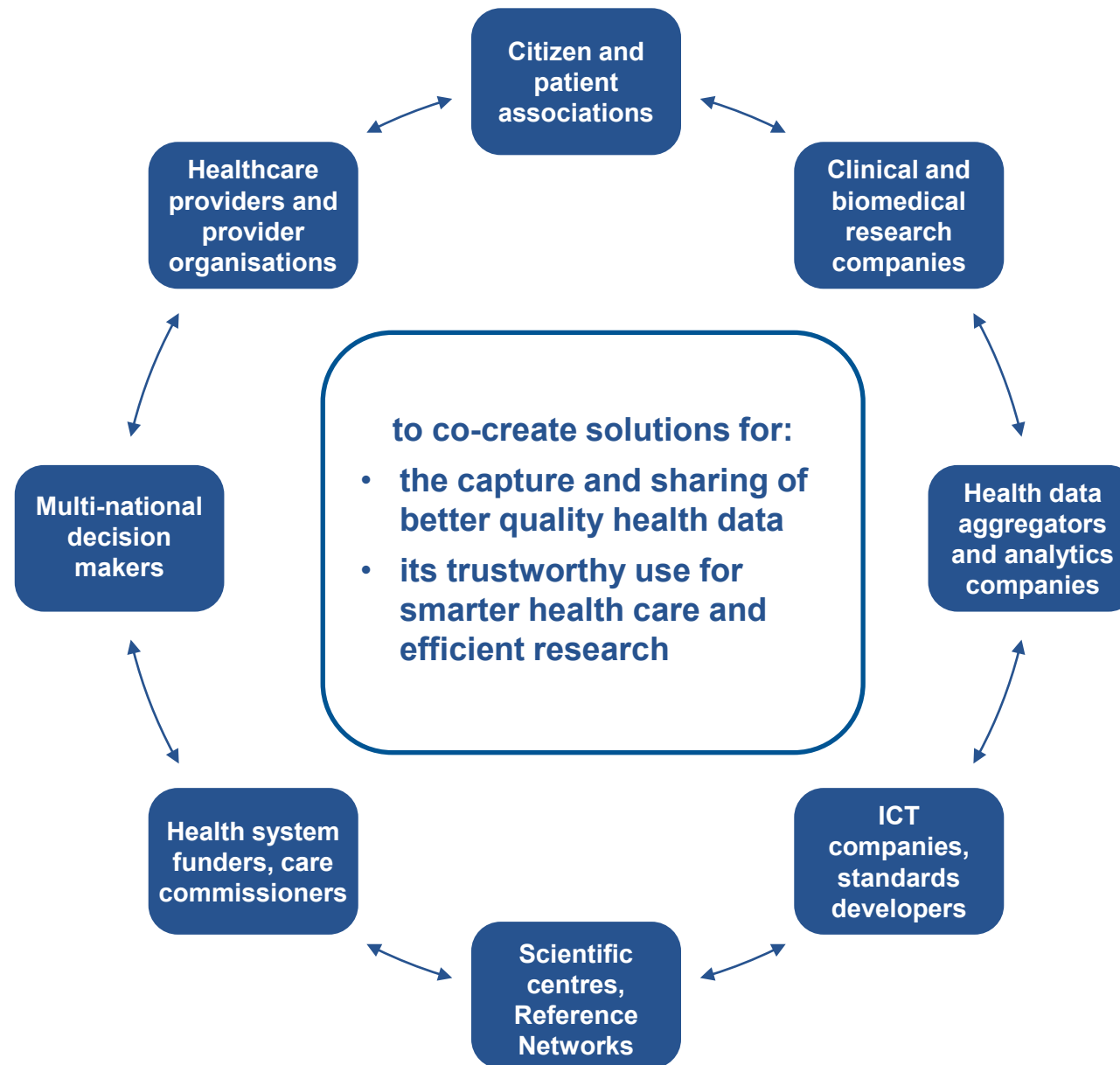
Scaling up trustworthy  
RWD for clinical research

The momentum in Europe



*Professor Dipak Kalra  
President*

# iHD is a neutral, not-for-profit, European institute uniting stakeholders



Clinical Research needs

Healthcare needs



INFORMATION  
GOVERNANCE



QUALITY OF  
HEALTH DATA



TRUSTWORTHY  
HEALTH ICT SYSTEMS



DATA  
INTEROPERABILITY  
STANDARDS



QUALITY LABELS:  
CERTIFICATES & SEALS



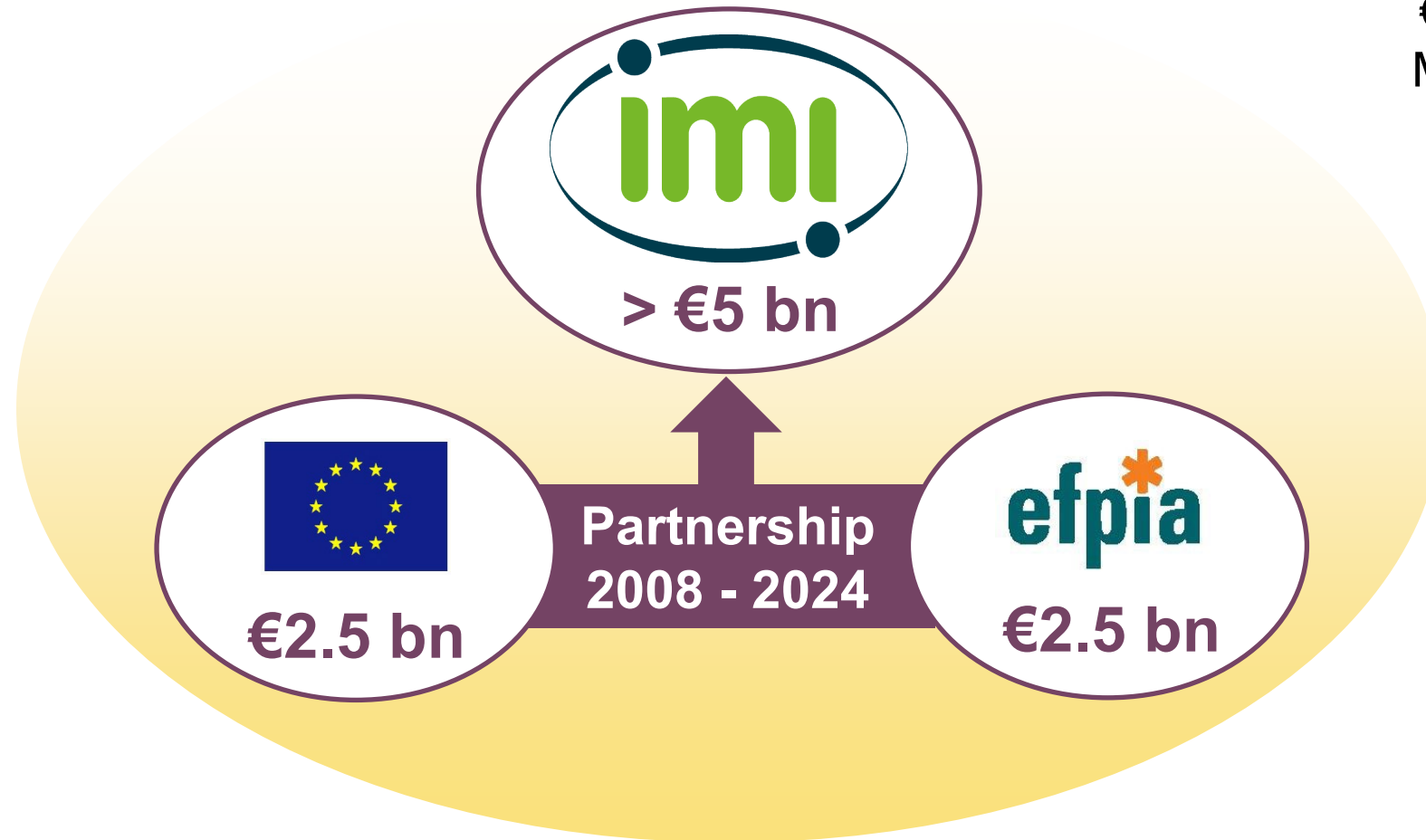
SCALING UP  
THE HEALTH  
ECOSYSTEM

## IMI1: 2008-2013

€2 bn budget  
59 projects

## IMI2: 2014-2024

€3.3 bn budget  
More ambitious  
More open  
Greater scope



# Patient recruitment a major cause of trial delays

- Identifying and recruiting suitable patients and trial sites are principal causes of trial delays



The percentage of studies that complete enrolment on time:

**18%** in Europe,

**7%** in the US<sup>1</sup>



Almost

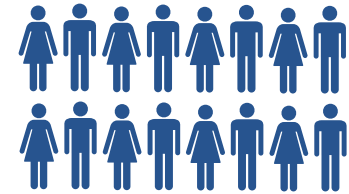
**half** of all trial

delays caused by patient recruitment problems<sup>2</sup>



Each day a drug is delayed from market, sponsors lose up to

**\$8m<sup>3</sup>**



**50%**

of today's clinical trials fail to achieve the target recruitment rate<sup>4</sup>

1. State of the Clinical Trials Industry: A Sourcebook of Charts and Statistics, Center Watch, 2008.

2. Study Participant Recruitment and Retention in Clinical Trials: Emerging strategies in Europe, the US and Asia, Business Insights, June 2007.

3. Beasley, "Recruiting" 2008

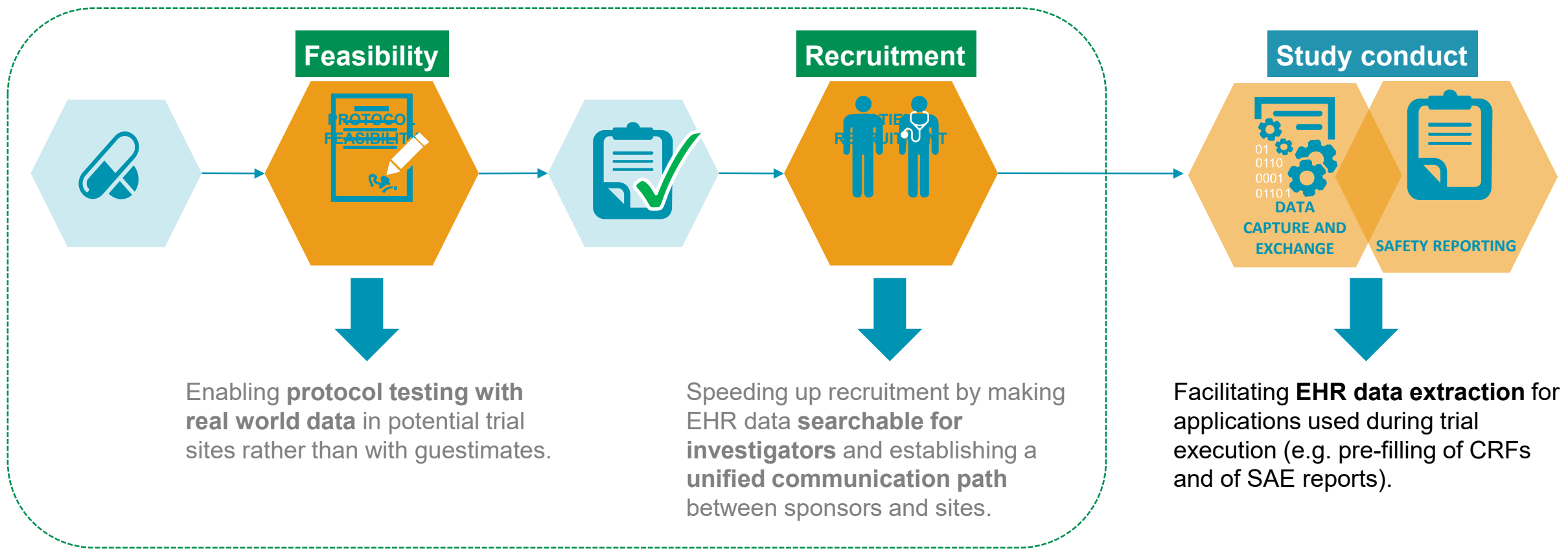
4. Tufts -<http://clinicalperformancepartners.com/wp-content/uploads/2012/07/Fixing-Feasibility-Final-Jan-2012.pdf>

- EHR4CR – Electronic Health Records for Clinical Research
  - 4+1 year project (2011-2016), 35 partners, budget >17M€
- Objectives & Scope
  - Provide a platform for **trustworthy re-use of EHR data** to support innovation in clinical research and healthcare operations.
  - Unlocking **Health data** for optimising clinical trials
  - **7 pilot sites across Europe**
- Status
  - Extended into 2016 for making the transition to a sustainable platform.
  - Initiated a **Champion Programme**, connecting hospitals to an operational platform, building up experience with pharma
  - The **European Institute for Innovation through Health Data** – an independent governance body

For more information:  
<http://www.ehr4cr.eu/>



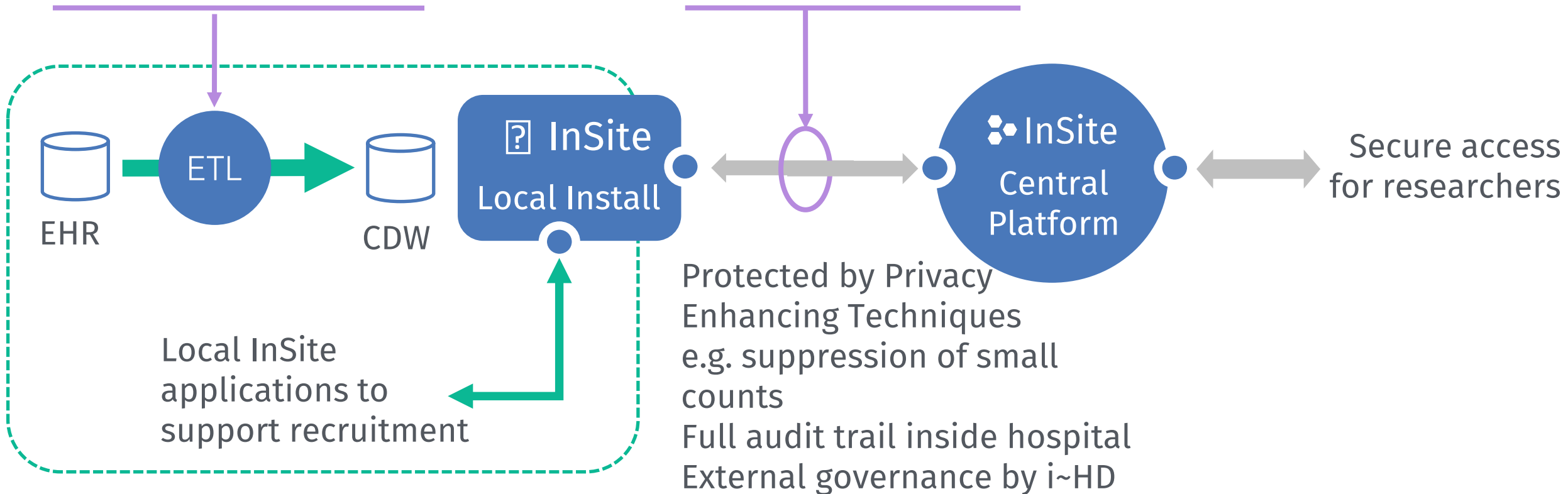
# The critical scenarios



# InSite – Technical Overview, for Protocol feasibility

InSite provides expertise and tools to support local sites with mappings

Only aggregated data (patient counts) leave the hospital, only on approval





# InSite – Protocol feasibility query

**InSite** An EHR4CR Service Platform **Study Design** **Study Recruitment** 1.1-SNAPSHOT © Custodix 2016 Notifications Brecht Claerho...

Feasibility study overview > Non-insulin-dependent diab... > Baseline query > Version 2 > Query Results

### Finished results for Baseline query

Reference date: Apr 21, 2012

STATUS

- Sites succeeded: 2
- Sites failed: 1
- Sites loading: 0

[download excel](#)

Executed on Apr 21, 2016.

SITE THRESHOLD

Minimum patient matches in site:

SITE SELECTION

- All sites
- Selected sites

CRITERIA SELECTION

- All criteria
- One criterion

Patient results have been obfuscated for sites MCW. Approximated results are indicated by an \*-icon.

### Patient Reach for Baseline query

Metric	Value	Percentage
PATIENT TOTAL TARGET N=250	58*	23.2%
PATIENT SCORE PER COUNTRY	34*	45.79% (Netherlands), 54.21% (MCW)
PATIENT SCORE PER SITE	34*	45.79% (MCW), 54.21% (EHHT)

### Site & Country Scores

PATIENT MATCHES PER COUNTRY

Country	Matching Patients
Netherlands	[16,52]
United Kingdom	24

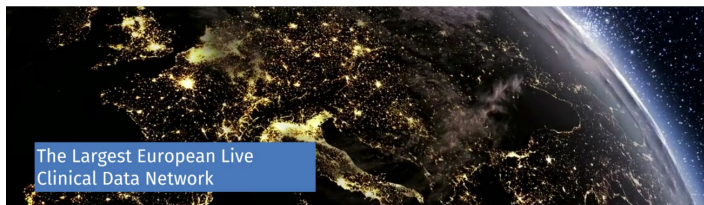
PATIENT MATCHES PER SITE

Site	Matching Patients
MCW	[16,52]
EHHT	24

COUNTRY: ALL PATIENTS | MATCHING PATIENTS | SITE: ALL PATIENTS | MATCHING PATIENTS

# Clinical research platform scale up

**InSite**



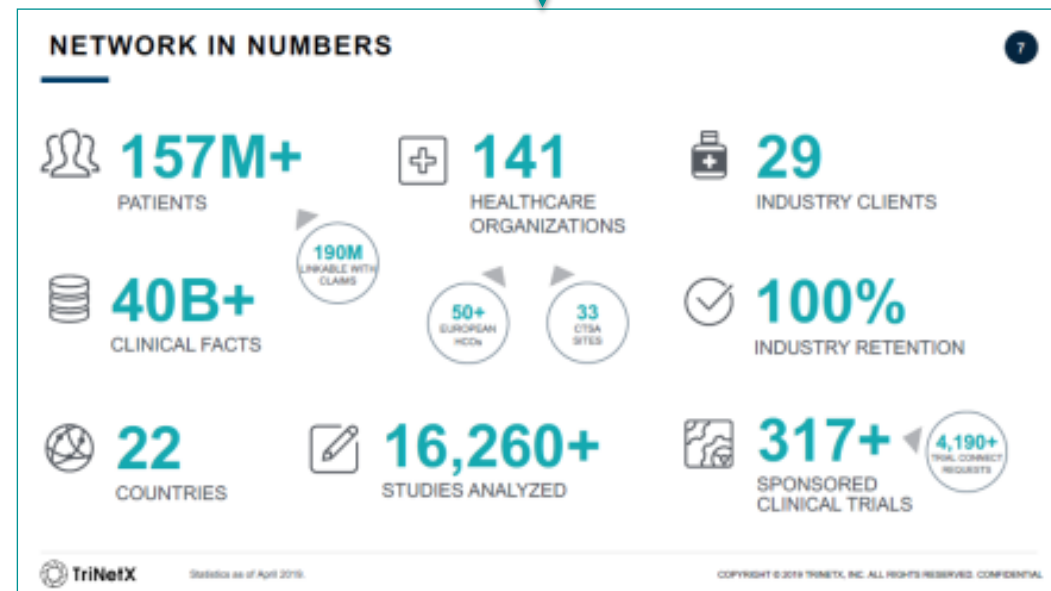
- 11 Countries** in which InSite is active
- 31 Million** patient lives in the InSite network
- 50 Hospitals** in the InSite network
- >100 Additional** actively discussing hospitals

Key hospitals includes



## Custodix merger with TriNetX

Growth within 5 years





# Why some eligibility criteria cannot be converted into EHR queries

- Criteria that could not be formalised, such as
  - conditional events (e.g. medication used for another reason)
  - medical response, treatment response
  - measurements usually taken at home
  - toxicity grades
  - "symptomatic", "may or may not", "treatment naive"
  - "more than one medication" but not specified which ones
  - "must have recovered from all side effects"
  - "uncontrolled"
- Likely willingness of the patient to provide informed consent or to comply with abstinence
- Criteria that should apply at a particular visit or at screening
- Participation in another trial
- Investigator's opinion

# Redundant data entry

- Clinical trial data are manually entered into dedicated electronic clinical trial systems (EDC) and the same information is often also entered into EHR systems
  - Cumbersome and slow processes
  - Transcription inconsistencies



Over

# 40%

of clinical trial data are entered into the patient's health record, the clinical trial EDC system, and, possibly, a third paper copy<sup>1</sup>

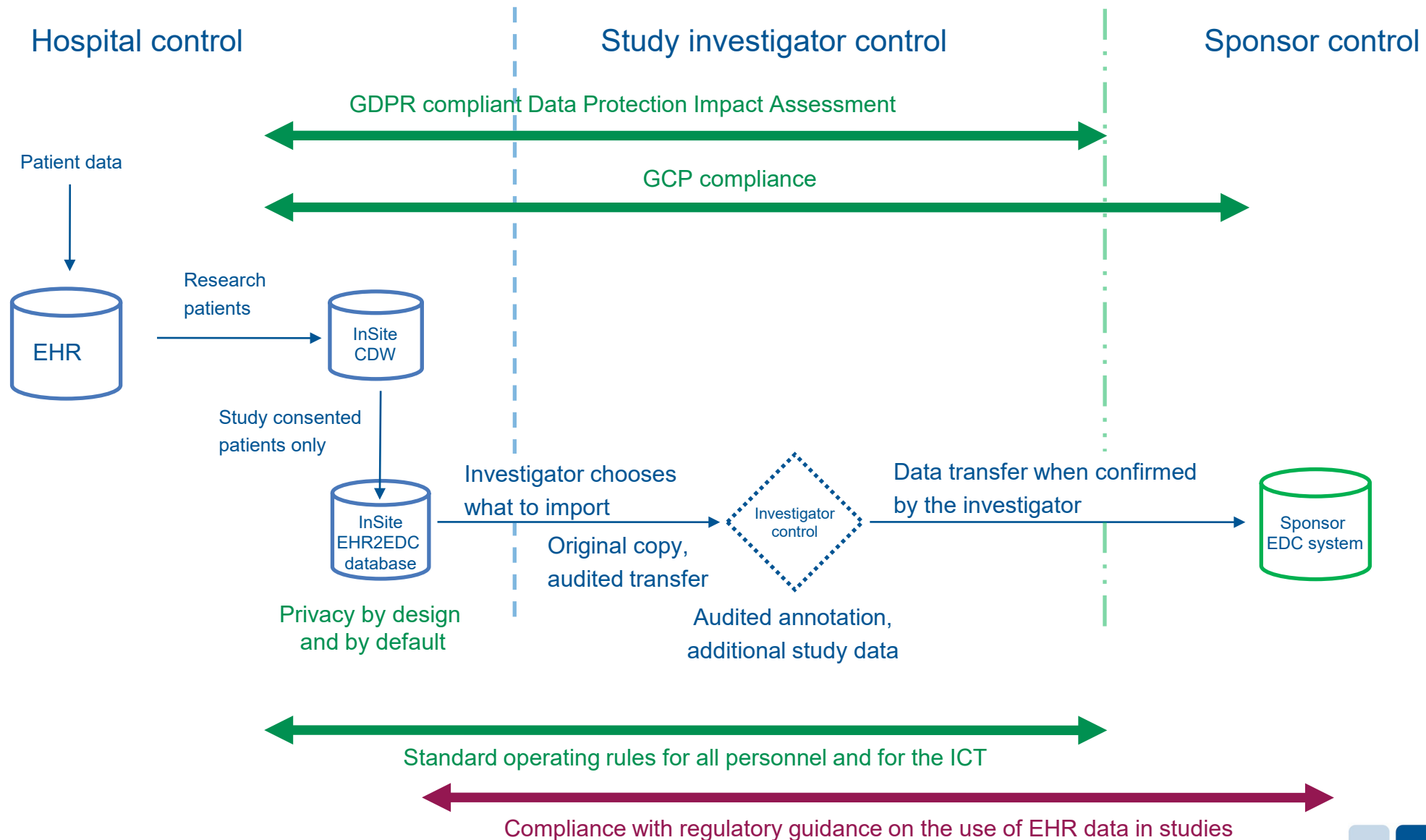
Investigational sites estimate that over

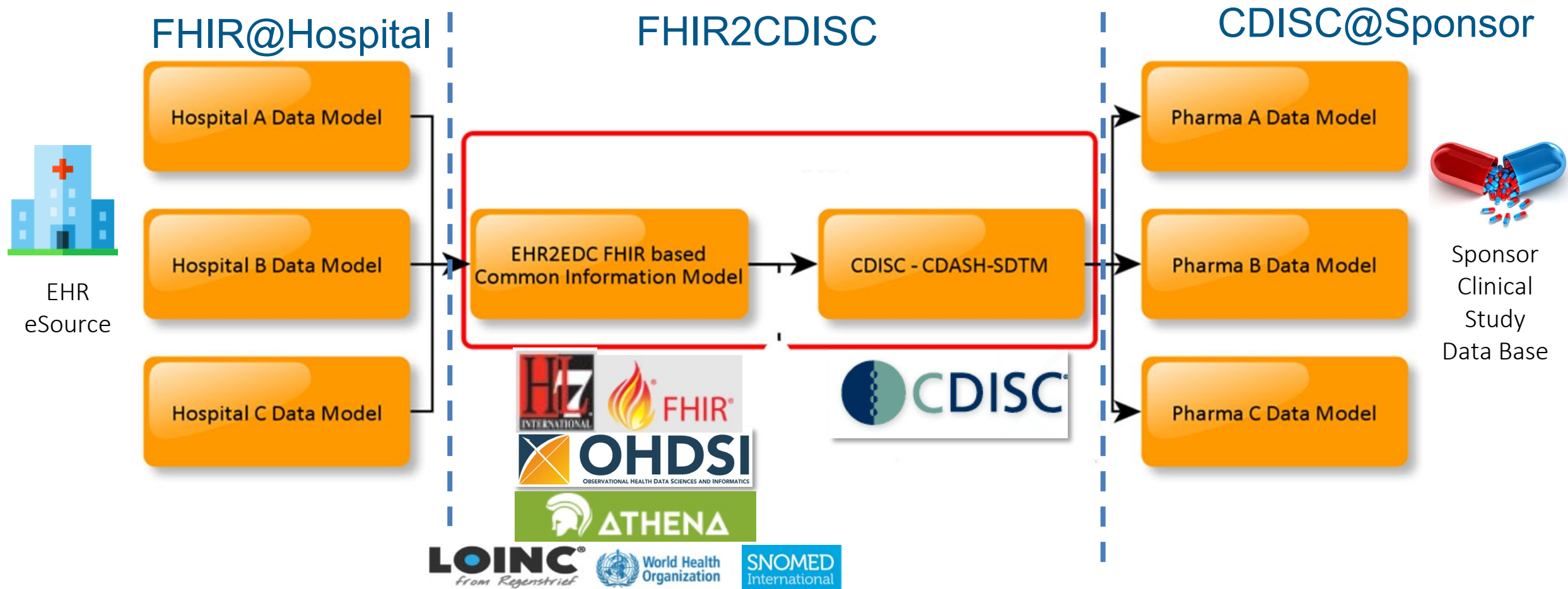
# 70%

of data are duplicated between EHR and clinical trial systems<sup>2</sup>

1. Integrating Electronic Health Records and Clinical Trials: An Examination of Pragmatic Issues, Michael Kahn, University of Colorado.

2. EDC Site Survey: Investigational Site Perspectives on Clinical Trial Information Systems, eClinical Forum 2009. Available at: [www.eclinicalforum.org](http://www.eclinicalforum.org) (accessed December 1, 2011).





# The EHR2EDC results in a nutshell

## Interoperability mapping

The first validated set of the most commonly collected data in clinical trials was mapped, Common Information Model (CIM) and HL7 Fast Health Interoperability (Resources (FHIR) based interoperability profiles developed

## Regulatory

ISO Good Clinical Practice (GCP) and Regulatory compliance for regulated trials

## Data governance

Principles, code of practice and Standard Operating Rules to support hospitals to conform to applicable GDPR regulations

## Technical module

Tested and validated

## Validation study

Multi-centric, multi-sponsor validation study (TransFAIR) successfully completed at the partner sites

- Six protocols from three pharma companies (AstraZeneca, Janssen, Sanofi)
- Four categories of health data (demographics, vital signs, laboratory and medication)
- Hospitals from three countries: AP-HP (France), 12 Octubre (Spain), IRST (Italy)
- >11000 data points automatically transferred
- Covering 37% of the patient data needed for these studies
- Several companies now developing commercial solutions



# EU-PEARL

EU PATIENT-CENTRIC  
CLINICAL TRIAL PLATFORMS



Strategic alliance between the public and private sectors to:

Transform the way  
clinical trials  
are conducted

Improve and accelerate  
drug development  
processes

Place the patient  
at the center  
(co-designed by patients)

by developing a common framework  
for platform clinical trials/Integrated Research Platforms (IRPs)



## Platform

To test multiple drugs for a single disease in a continuous manner, with drugs allowed to enter and leave the platform on the basis of a decision algorithm.

***EU-PEARL focuses on PLATFORM TRIALS***

## Basket

To test one drug for multiple diseases or disease subtypes.

## Umbrella

To test multiple drugs for a single disease.

## BENEFITS OF PLATFORM TRIALS



Relevant for complex and/or rare diseases with high unmet needs.



Drugs are tested in parallel so treatments can be developed faster.



Shared infrastructure can result in trials becoming more efficient.



"Plug and play" system allows for potential drugs to enter and exit the trial according to the results observed.



Less strain in patient recruitment as only one control group is needed to test several drugs.



Outcomes and learnings are shared amongst different companies, researchers, etc, thus advancing science faster.



**EU-PEARL**  
EU PATIENT-CENTRIC  
CLINICAL TRIAL PLATFORMS



Shared master protocol and methodology.



Scientific, legal, regulatory and ethical requirements.



Network of hospitals, clinicians and researchers.



Data governance policies and procedures.



Regulated access to patient electronic health records and patient cohorts.



Pathway for patients' participation in trials design.

# Value to hospitals = value to all health stakeholders



Better data access, and tools, to analyse their own data



Efficient capability to conduct research: income and reputation



Ability to measure health outcomes and improve care



Stronger drive to improve data quality

## Leeds Hospital's 'Own Data' Stopped Surgery

The NHS chief who halted children's heart surgery at Leeds General Hospital says the hospital's faulty data was to blame.

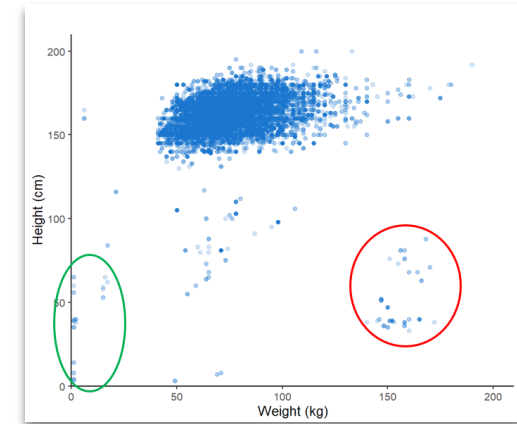
🕒 Tuesday 9 April 2013 10:33, UK

Twice as many babies and children seemed to be dying at the unit compared with specialist facilities elsewhere in the country.

Kristin M Hirata <sup>1</sup>, Ann H Kang, Gina V Ramirez, Chieko Kimata, Loren G Yamamoto

Affiliations [+ expand](#)

PMID: 28976456 DOI: [10.1097/PEC.0000000000001277](https://doi.org/10.1097/PEC.0000000000001277)



**34% of weight errors led to medication-dosing errors**  
**48% of these patients required additional monitoring, examination or treatment**

- Most health is captured by busy junior staff, using various EHR systems
- Staff have no access to training in data quality
- Patients also have no training! (but their data is increasingly important)

Methods and dimensions of electronic health record data quality assessment: enabling reuse for clinical research

Nicole Gray Weiskopf, Chunhua Weng

A Harmonized Data Quality Assessment Terminology and Framework for the Secondary Use of Electronic Health Record Data

Michael G. Kahn

Secondary Use of EHR: Data Quality Issues and Informatics Opportunities

Taxiarchis Botsis<sup>a,b</sup>, Gunnar Hartvigsen<sup>a,c</sup>, Fei Chen<sup>b</sup>, Chunhua Weng<sup>b</sup>

A practical framework for data management processes and their evaluation in population-based medical registries

M. SARIYAR<sup>1</sup>, A. BORG<sup>1</sup>, O. HEIDINGER<sup>2</sup> & K. POMMERENING<sup>1</sup>

A Pragmatic Framework for Single-site and Multisite Data Quality Assessment in Electronic Health Record-based Clinical Research

Michael G. Kahn, MD, PhD,\*† Marsha A. Raebel, PharmD,‡§ Jason M. Glanz, PhD, MS,‡|| Karen Riedlinger, MPH, MT (ASCP),¶ and John F. Steiner, MD, MPH‡

**A Data Quality Assessment Guideline for Electronic Health Record Data Reuse**

Nicole G. Weiskopf, PhD<sup>i</sup> Suzanne Bakken, RN, PhD<sup>ii,iii</sup> George Hripcsak, MD, MS<sup>ii</sup> Chunhua Weng, PhD<sup>ii</sup>

Applying probabilistic temporal and multisite data quality control methods to a public health mortality registry in Spain: a systematic approach to quality control of repositories

Carlos Sáez<sup>1,2</sup>, Oscar Zurriaga<sup>3,4,5</sup>, Jordi Pérez-Panadés<sup>3</sup>, Inma Melchor<sup>3</sup>, Montserrat Robles<sup>1</sup> and Juan M García-Gómez<sup>1,6</sup>

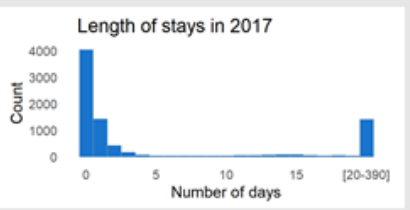
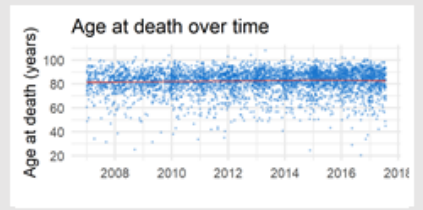
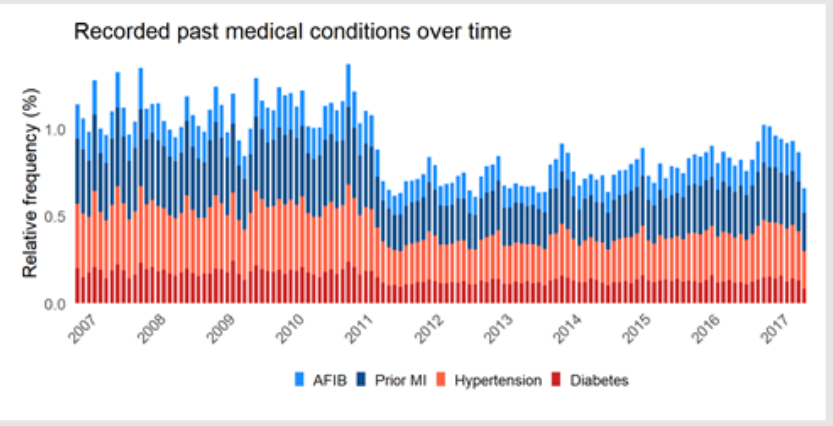
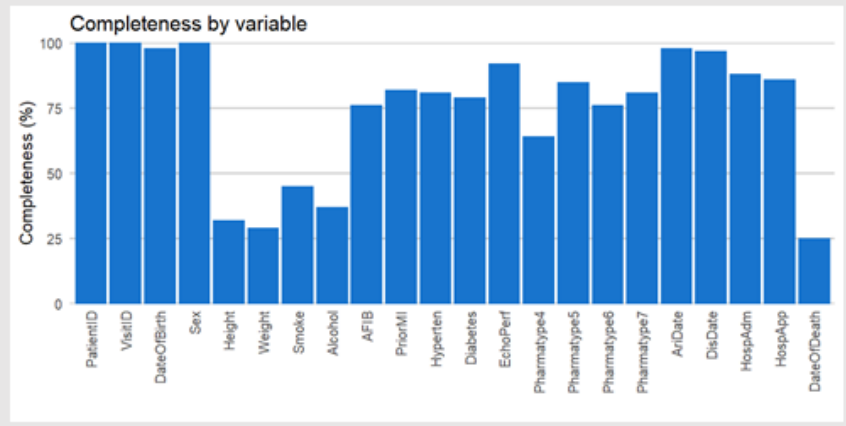
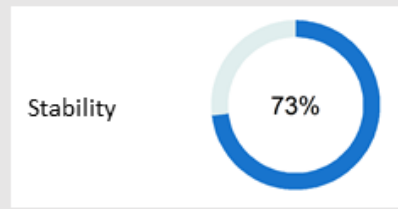
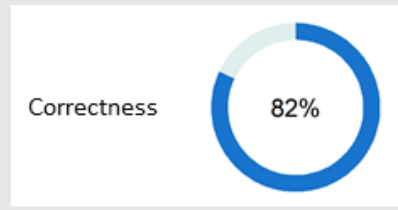
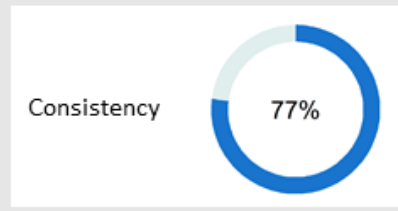
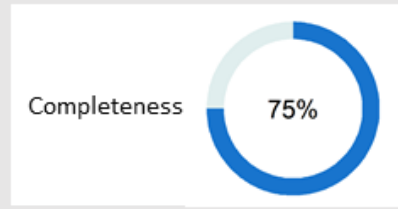
RECEIVED 30 August 2015  
 REVISED 21 December 2015  
 ACCEPTED 17 January 2016

**AMIA** OXFORD UNIVERSITY PRESS  
INFORMATICS PROFESSIONALS. LEADING THE WAY.

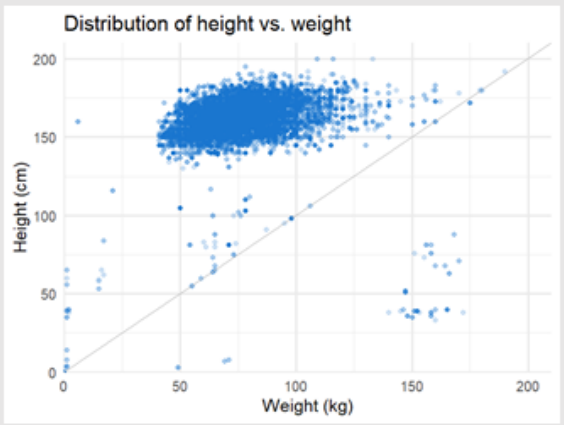
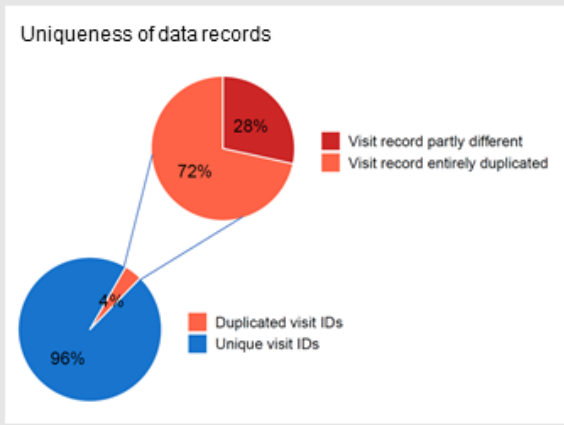
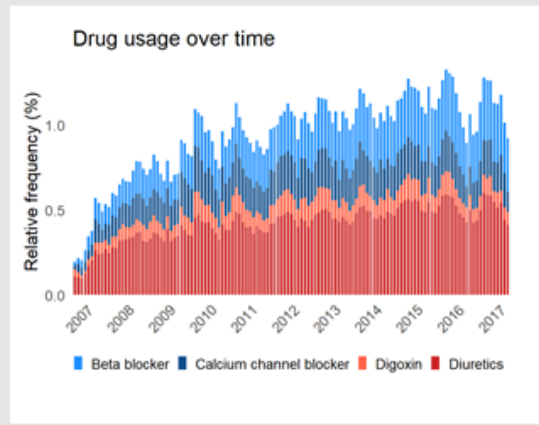
# Data quality rules, assessment tools, improvement support

Name	Definition	Name	Definition
Completeness	Data values are present	Timeliness	Data is up-to-date to their real world state for the task at hand
Consistency	Data satisfy constraints (format, allowable ranges and values, domain rules, relations)	Stability	Data inherent concepts and statistics are comparable among sources (hospitals, professionals, etc) and over time
Correctness	Values are true and unbiased with respect to their real-world state	Relevance	Data are useful for their task
Uniqueness	Records representing a single patient are not replicated	Contextualization	Data are annotated with the acquisition context, their meaning and semantics
		Trustworthiness	Data can be trusted based on the reputation of the stakeholders involved in their acquisition

# Data quality rules, assessment tools, improvement support



**The European Institute for Innovation through Health Data**



# Standards and regulations on EHR systems and eSource

- ISO 18308: Requirements for an electronic health record reference architecture, 2011
- CDISC: Leveraging the CDISC Standards to Facilitate the use of Electronic Source Data within Clinical Trials, Version 1.0, November 2006
- EMA Reflection paper on expectations for electronic source data and data transcribed to electronic data collection tools in clinical trials (General-EMA/INS/GCP/454280/2010)
- FDA Guidance for Industry Electronic Source Data in Clinical Investigations, September 2013
- HHS final rule: 2015 Edition Health Information Technology (Health IT) Certification Criteria, 2015 Edition Base Electronic Health Record (EHR) Definition, and ONC Health IT Certification Program Modifications, October 16, 2015
- FDA Guidance for Industry: Use of Electronic Records and Electronic Signatures on Clinical Investigations Under 21 CFR Part 11- Questions and Answers, Draft guidance, June 2017
- MHRA Position Statement and Guidance Electronic Health Records, Version 1.0, 16 September 15
- ICH Guideline for good clinical practice E6(R2)
- FDA Guidance for industry on the Use of Electronic Health Record Data in Clinical Investigations, July 2018

# Growing a Hospital Network of Excellence

Assessment criteria reflect:

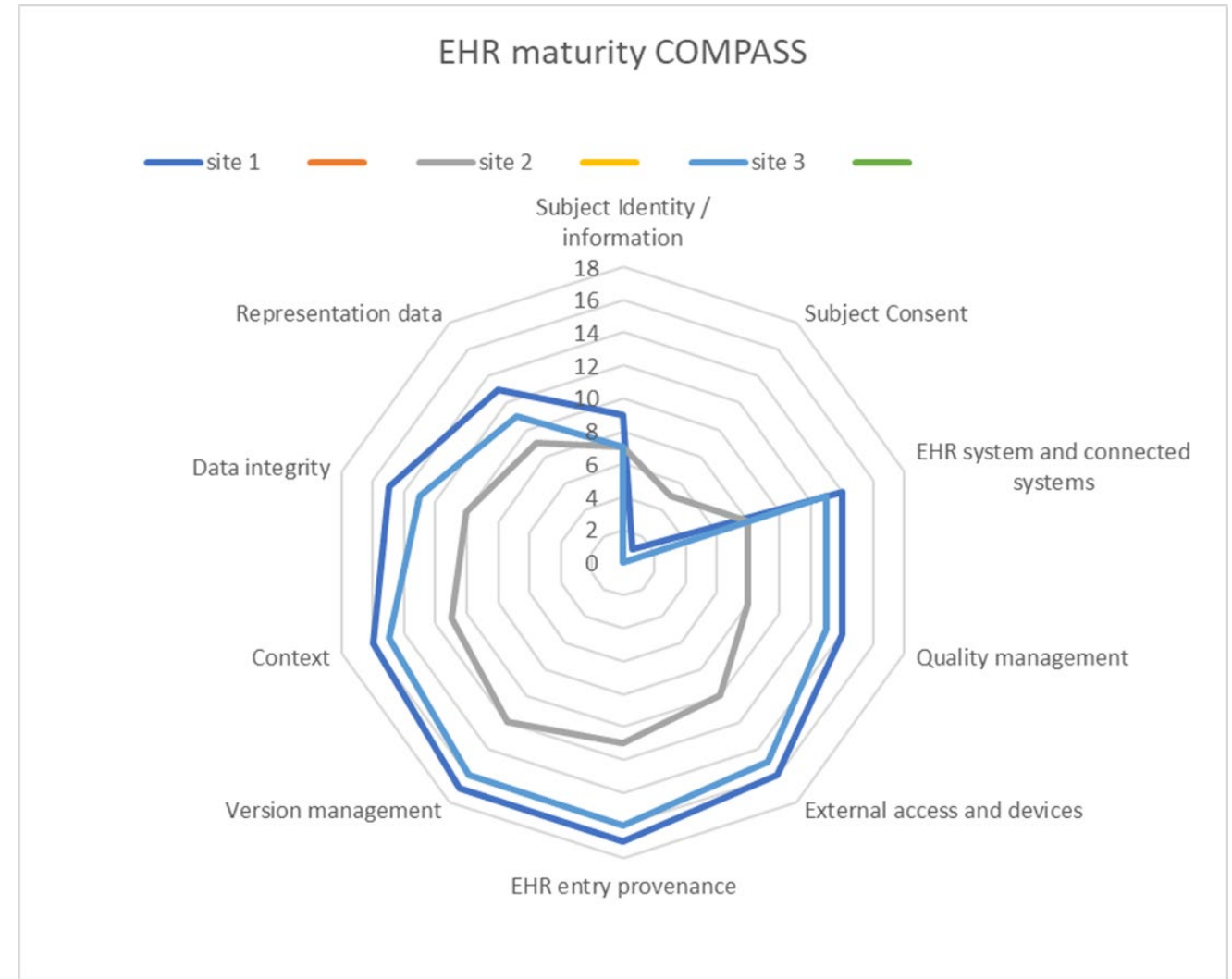
- ISO EHR standards
- Regulatory eSource guidelines
- Industry quality frameworks

Two level assessment process

- 1: Online self-assessment
- 2: Optional on site assessment, Certification

Maturity Compass

- EHR Information Governance
- eSource readiness
- Data Quality





## Assessment criteria reflect:

- ISO EHR standards
- Regulatory eSource guidelines
- Industry quality frameworks

## Two level assessment process

- 1: Online self-assessment
- 2: Optional on site assessment, Certification

## Maturity Compass

- EHR Information Governance
- eSource readiness
- Data Quality

## Improvement programmes for these domains

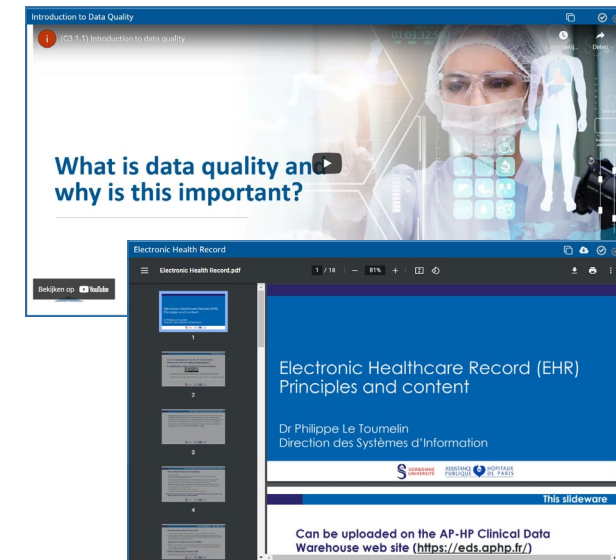
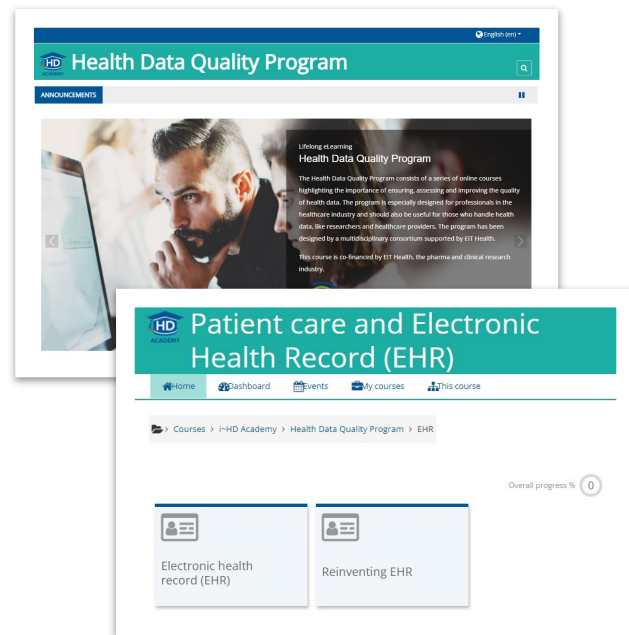
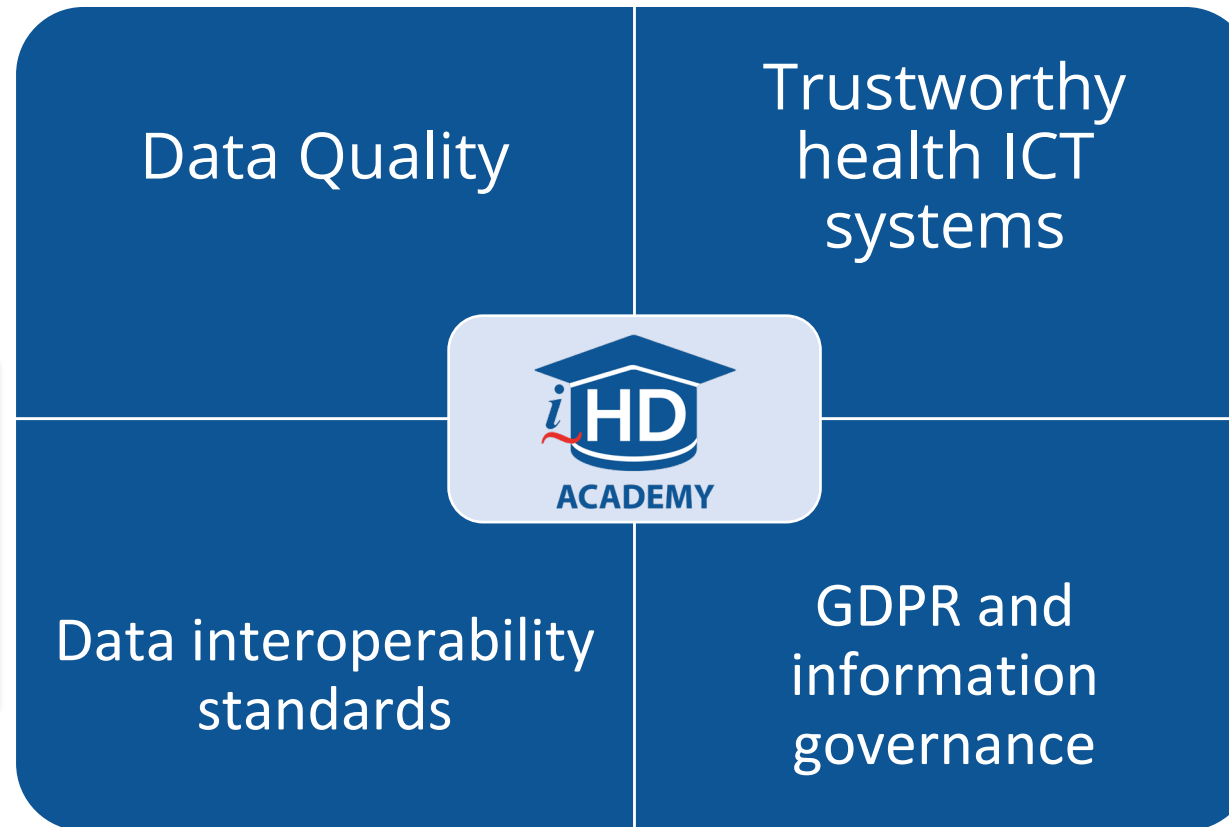
- Compass, dashboards
- Benchmarking intra & inter hospitals of excellence
- Peer learning events
  - Webinars
  - Tutorials
  - Physical workshops
- Sponsored in partnership with industry

## Sustainable on-line catalogue

- A searchable & accessible online tool of hospitals of excellence



- **Quality educational programs to support all stakeholders**
  - Trustworthy use of high-quality health data to continuously improve care and accelerate research
- **“By the i~HD community for the i~HD community”**



Videos  
Narrated presentations  
Assessment tools e.g quiz

## Individual level health data

EHR systems, apps, sensors, genomics,  
Clinical Decision Support, AI

### Used for:

- Health status monitoring
- Continuity of care (including the patient and caregivers)
- Care pathway tracking, clinical workflow management
- Real-time feedback and guidance to patients and clinicians
- Personalised medicine
- Disease interception, prevention and wellness
- Healthcare provider reimbursement

## Population level health data

EHR systems, regional & national  
eHealth infrastructures

### Reused for:

- Healthcare provider performance and planning
- Quality and safety, care pathway optimisation
- Medical device and algorithm refinement
- Pharmacovigilance
- Public health surveillance
- Public health strategy
- Health services and resource planning

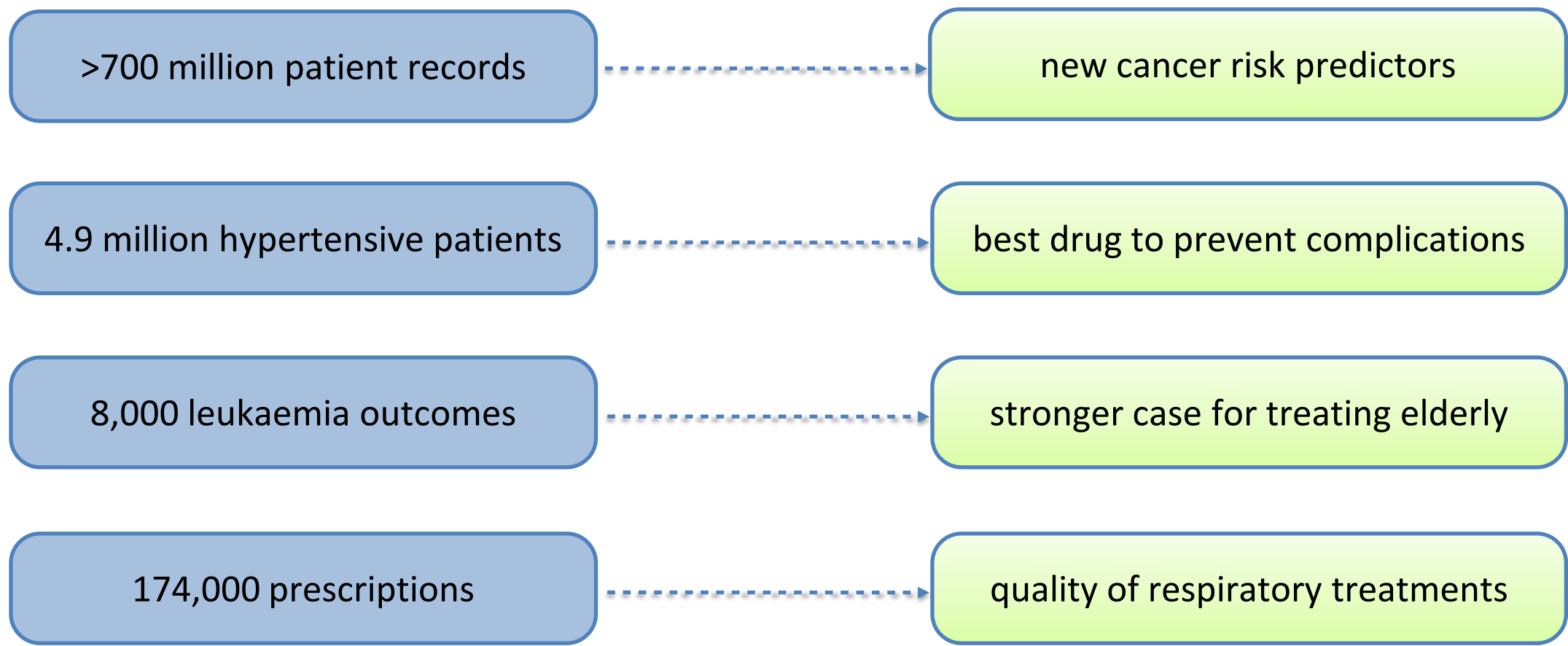
## Big health data

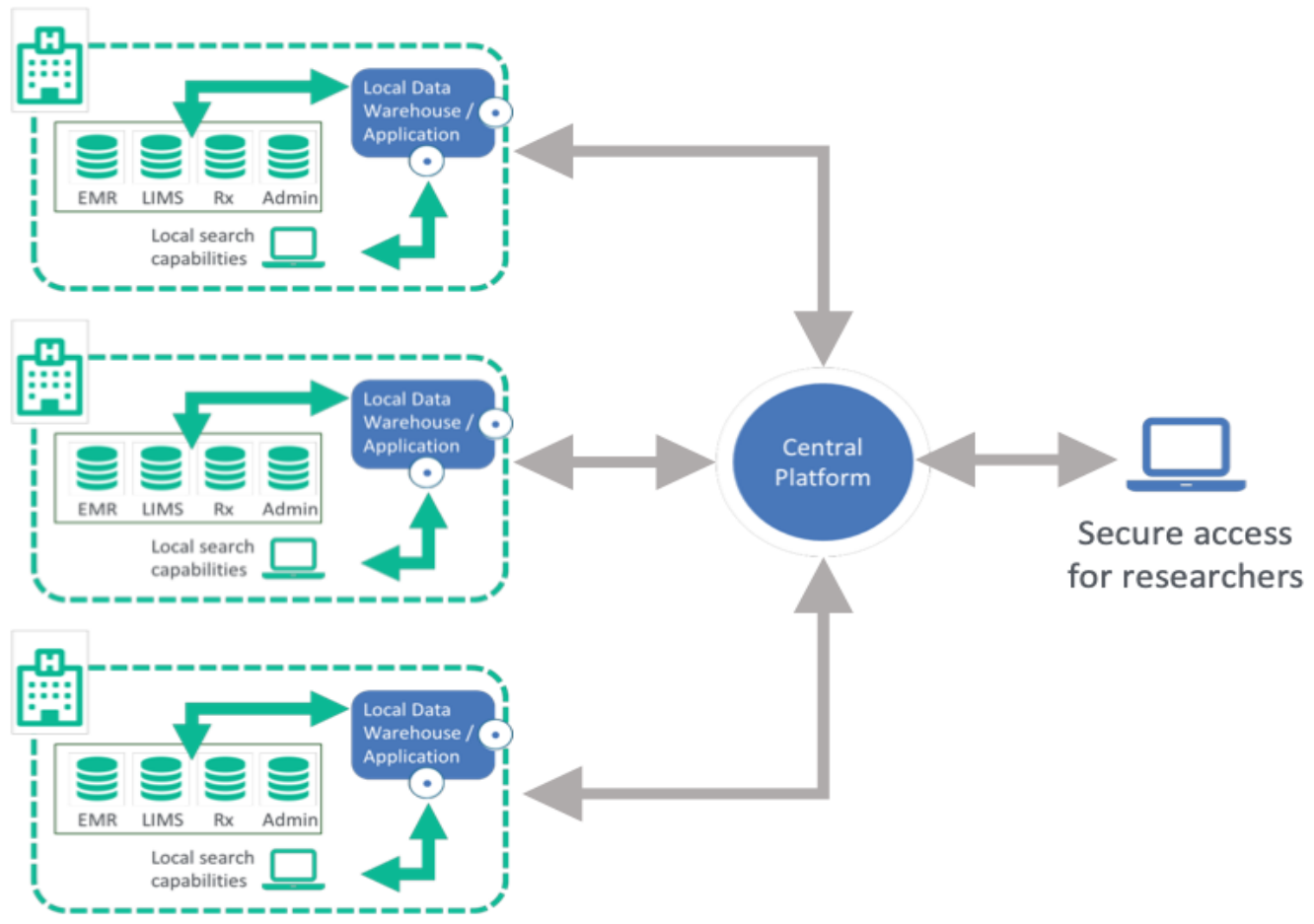
national & international research  
infrastructures,  
federated query platforms  
+ cross-sectoral services

### Reused for:

- Epidemiology
- Digital innovation: devices, sensors, apps
- AI development
- Personalised medicine and bio-marker research
- Diagnostics development
- Drug development
- Disease understanding and stratification

# Some research findings from “big data”



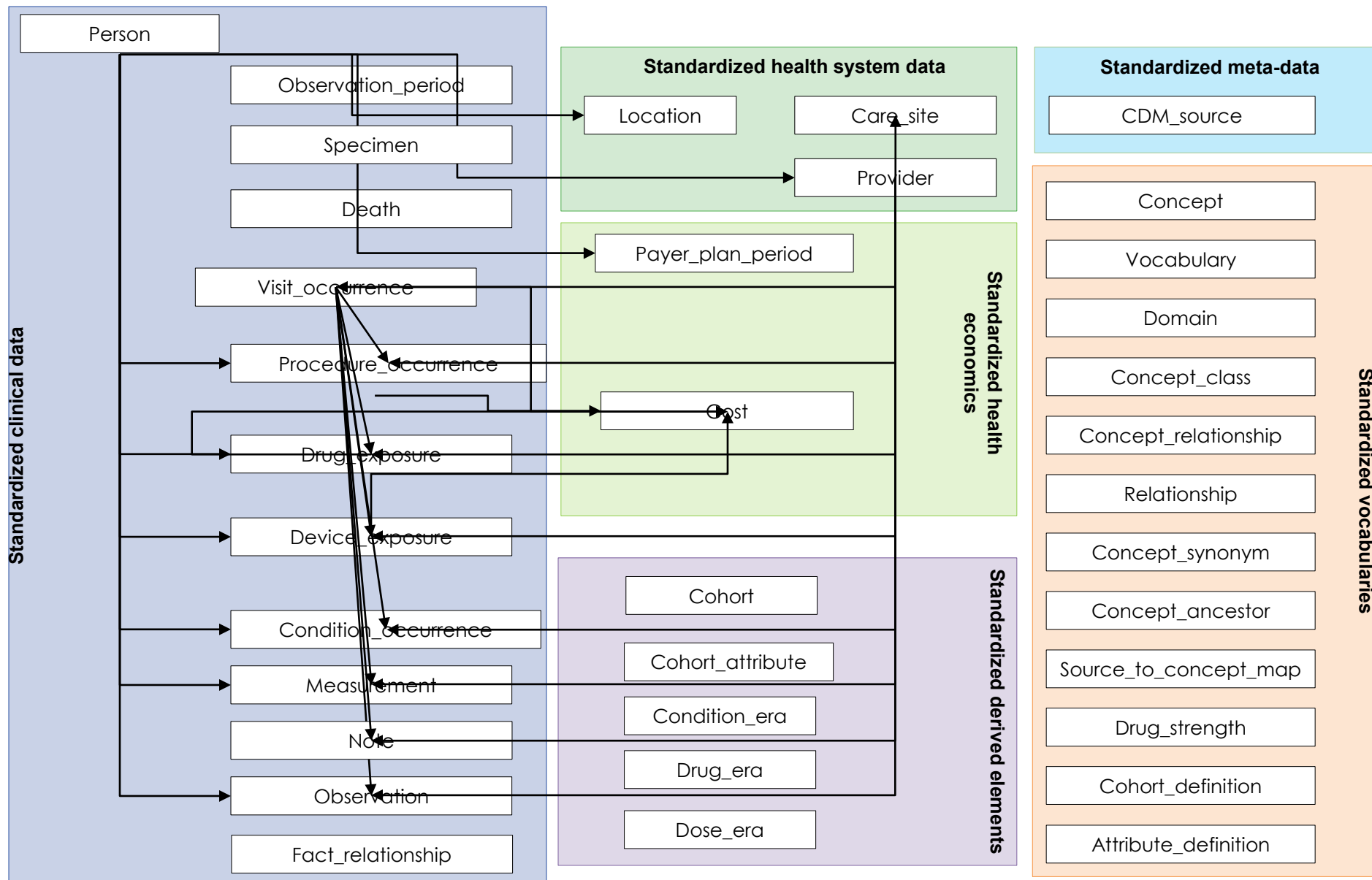


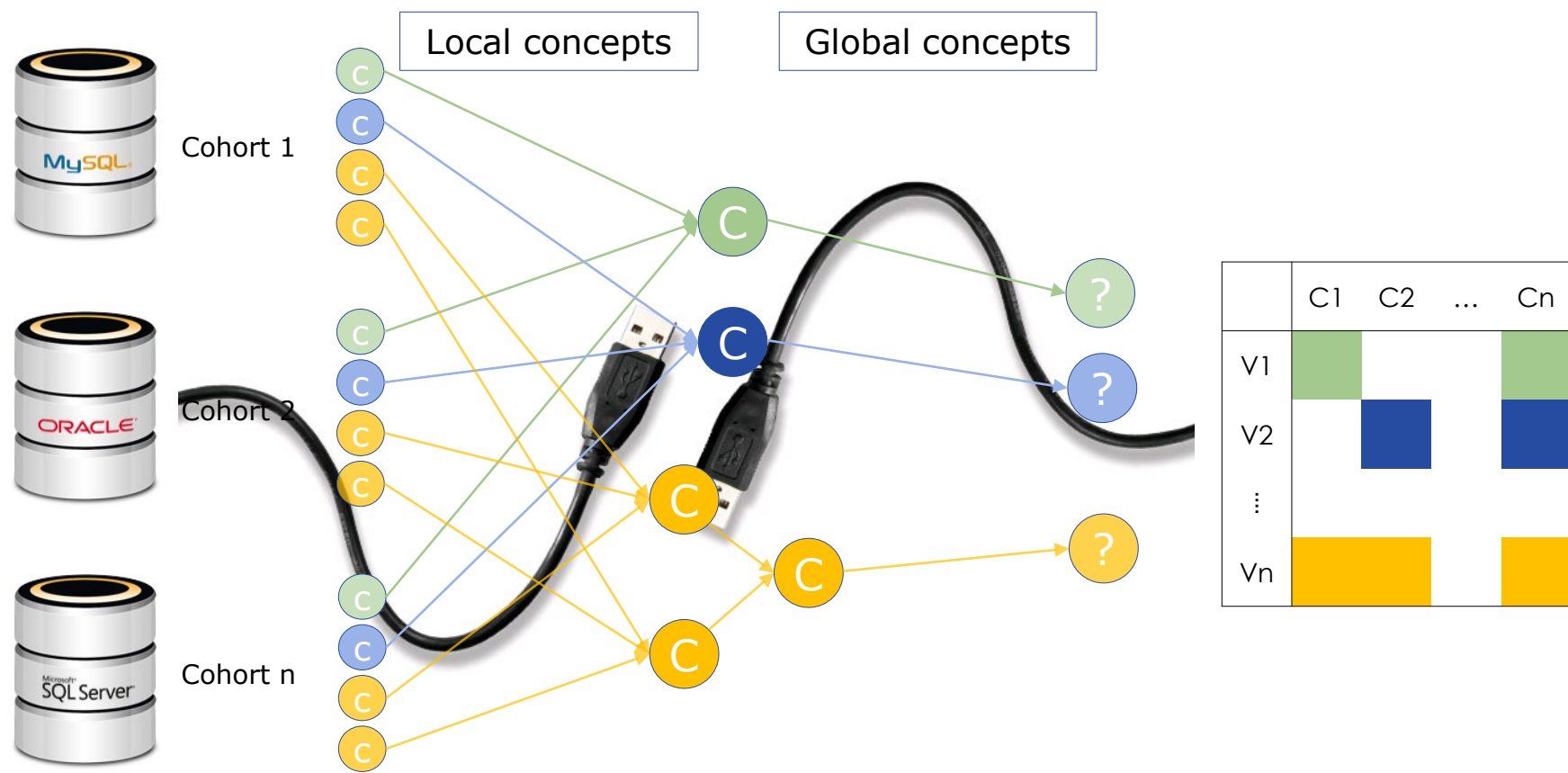
## Benefits of federated networks

- Data remains under the control of the data owner
- Locally required legal and ethical approvals apply
- No patient level data leaves the owner's site, only aggregated counts, thereby ensuring patient privacy
- GDPR – *'Privacy by Design'*
- Analysis is "brought to the data" rather than creating central data repository
- Use of common data model allows for efficient search / analysis across multiple data sets
- Requires close collaboration with data owners which builds trust



# The OMOP Common Data Model





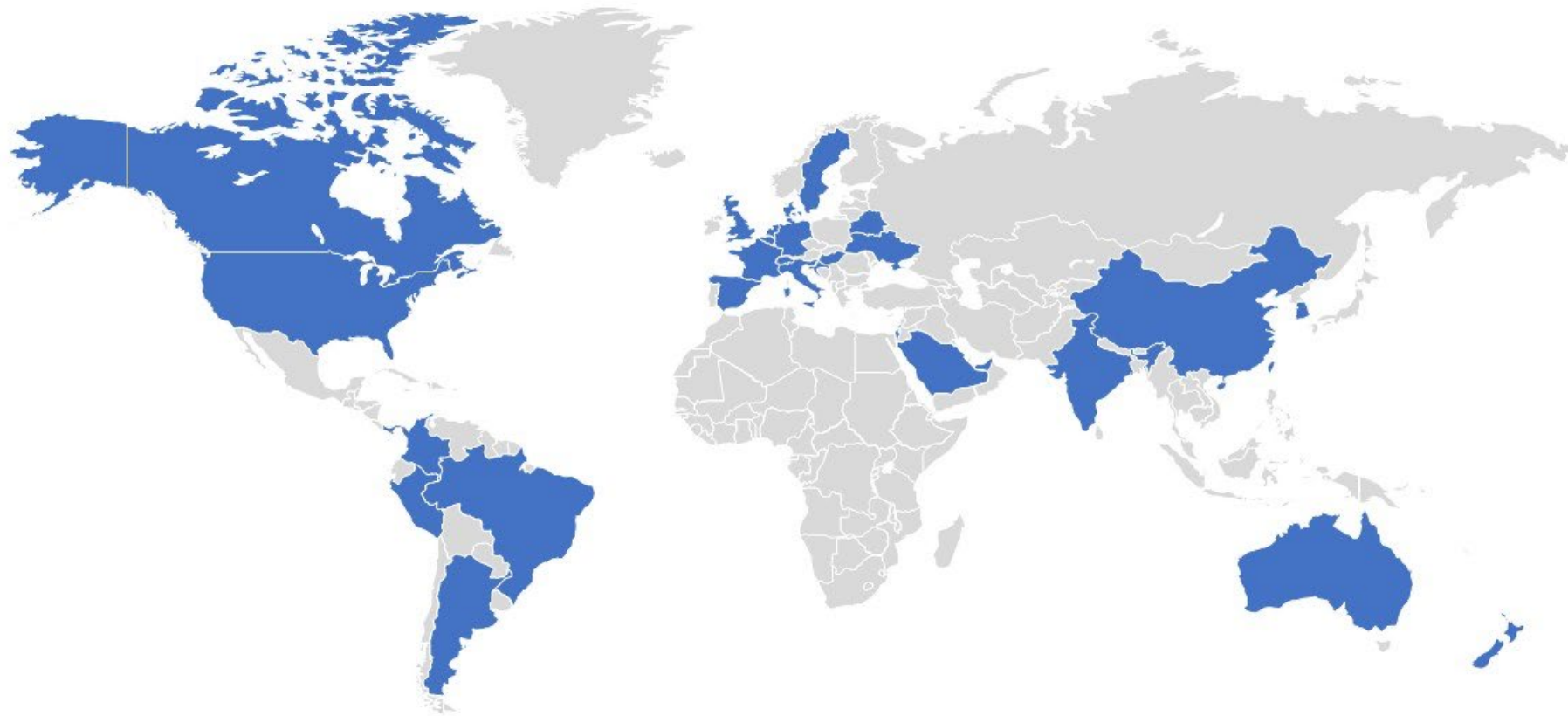
- Data custodians**
- Identify local concepts
  - Specify mappings
  - Define security

- Community**
- Specify global and derived concepts
  - Define research groups

	C1	C2	...	Cn
V1				
V2				
⋮				
Vn				

Common  
Data  
Models

Standardised  
Clinical  
Models



The Observational Health Data Sciences and Informatics (OHDSI) programme. <https://ohdsi.org>



## EHDEN Supported Study on Low Neurological Risk with COVID-19 Vaccines published in British Medical Journal

17th March 2022

BMJ Press Release:

**Study finds no increased risk of rare neurological events after COVID vaccination**

8 330 497 people who received at least one dose of covid-19 vaccines

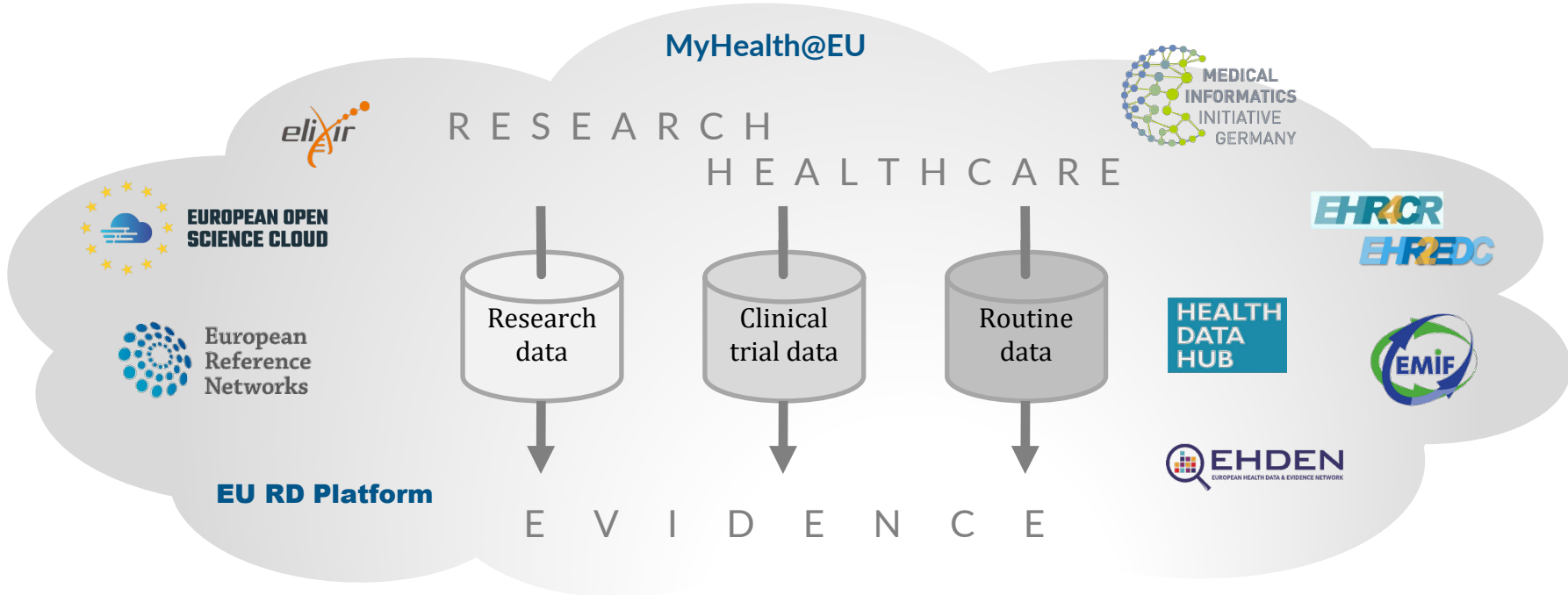
735 870 unvaccinated individuals with a first positive reverse transcription polymerase chain reaction test result

14 330 080 participants from the general population (control group)

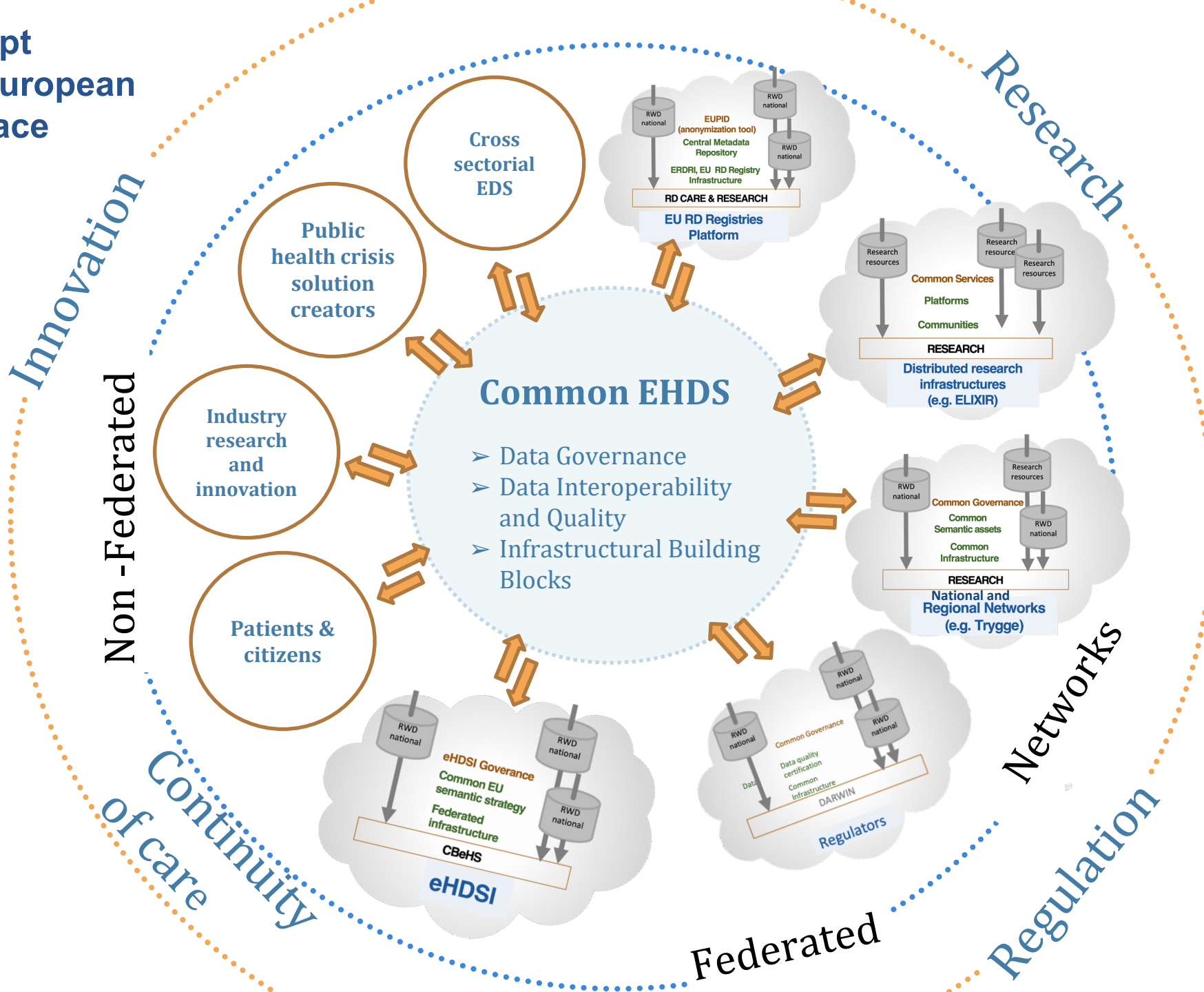
The image shows a thumbnail of the research article page from the British Medical Journal. The title is "Association between covid-19 vaccination, SARS-CoV-2 infection, and risk of immune mediated neurological events: population based cohort and self-controlled case series analysis". The authors listed are Xintong Lu, Berta Raventós, Elena Roel, Andrea Pistillo, Eugenia Martínez-Hernández, Antonella Delmestri, Carlen Reyes, Victoria Strauss, Daniel Prieto-Alhambra, and Edward Burn. The article includes sections for Abstract, Objective, Design, Setting, Participants, Main outcome measures, Results, and Conclusions. The abstract states that the study included 8,330,497 people who received COVID-19 vaccines and 14,330,080 people from the general population. The results show that the incidence rates for neurological events were consistent with expected background rates, and there was no increased risk observed for these events after vaccination.

# Big health data sharing initiatives

- Myriad of initiatives to share health data across jurisdictional, institutional and domain borders:
  - Sharing data for cross-border care or for research
- Emerging paradigm for analysing personally-identifiable health data:
  - federated infrastructure model: network of repositories with an overarching governance and interoperability layer

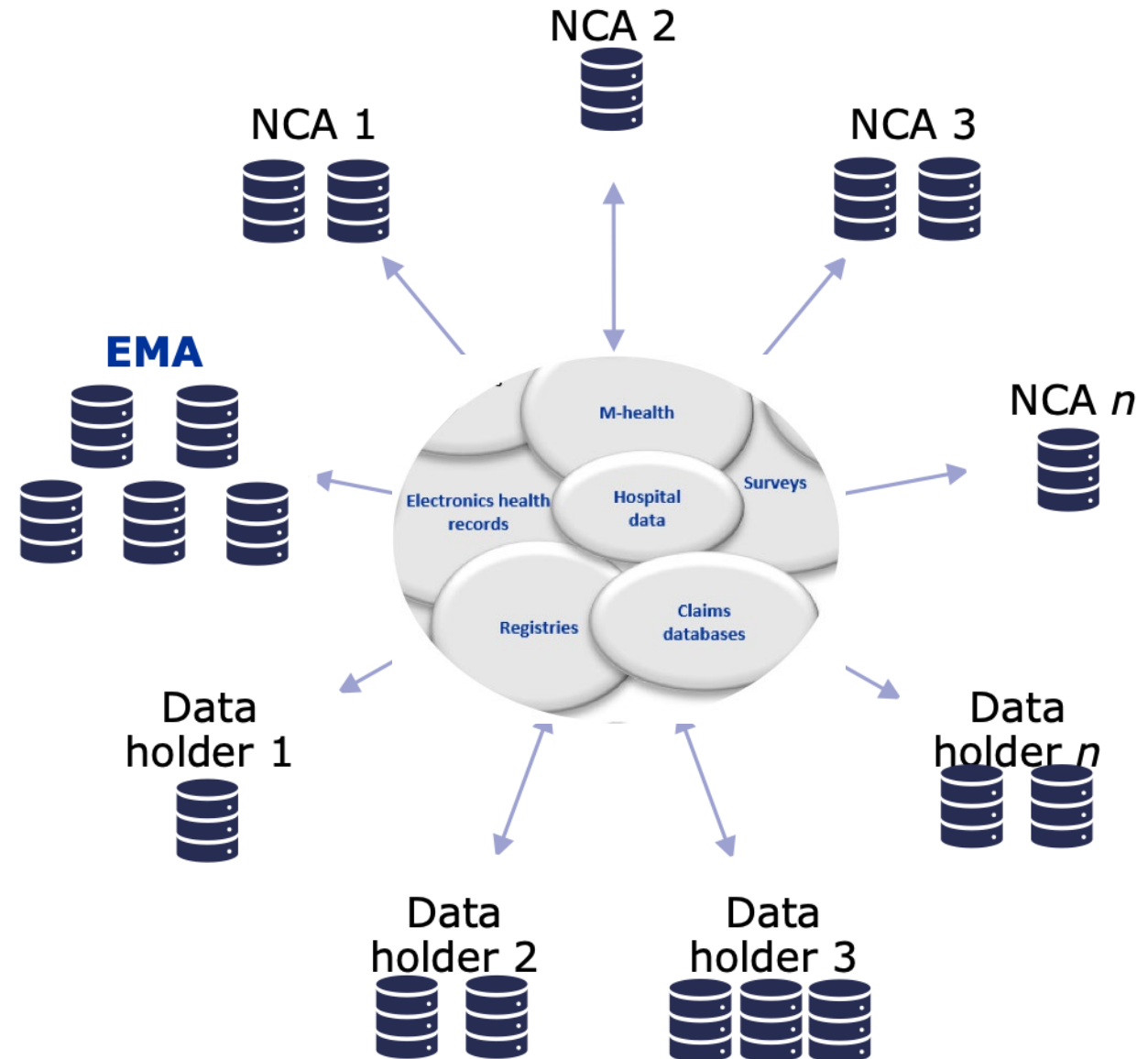


# Possible concept model for the European Health Data Space



# EMA DARWIN initiative

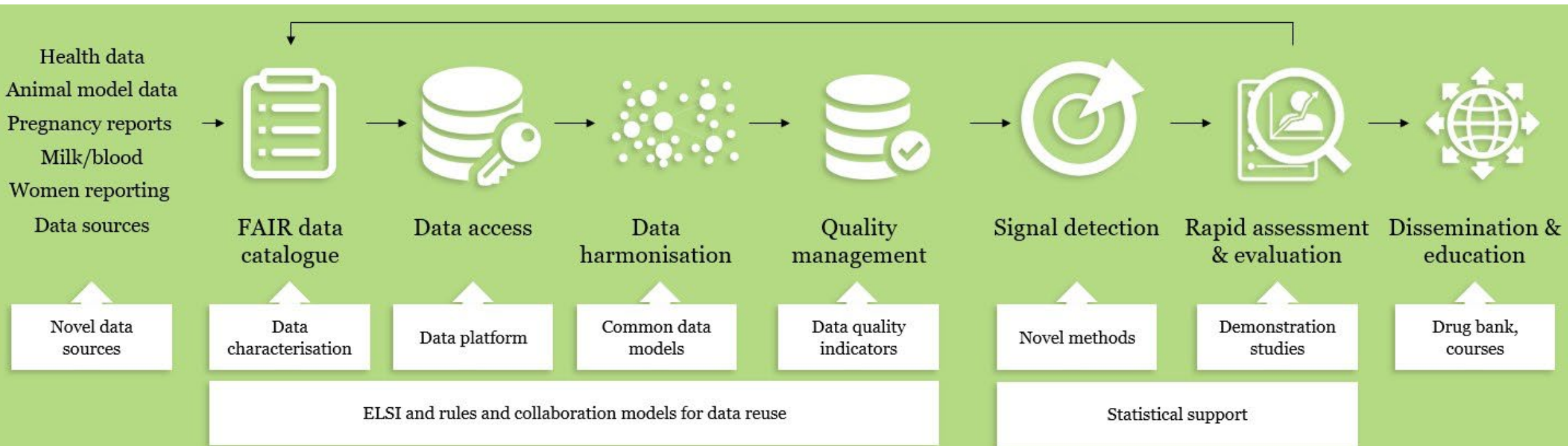
- EMA's planned network for Real World Evidence generation
- Focus:
  - Drug development – disease epidemiology, unmet need, historical controls, planning
  - Authorisation – contribution to BR, controls, extrapolation to general & special populations
  - On market – benefit risk monitoring, extension of indication



Building an ecosystem for better monitoring and communicating safety of medicines use in pregnancy and breastfeeding: validated and regulatory endorsed workflows for fast, optimised evidence generation

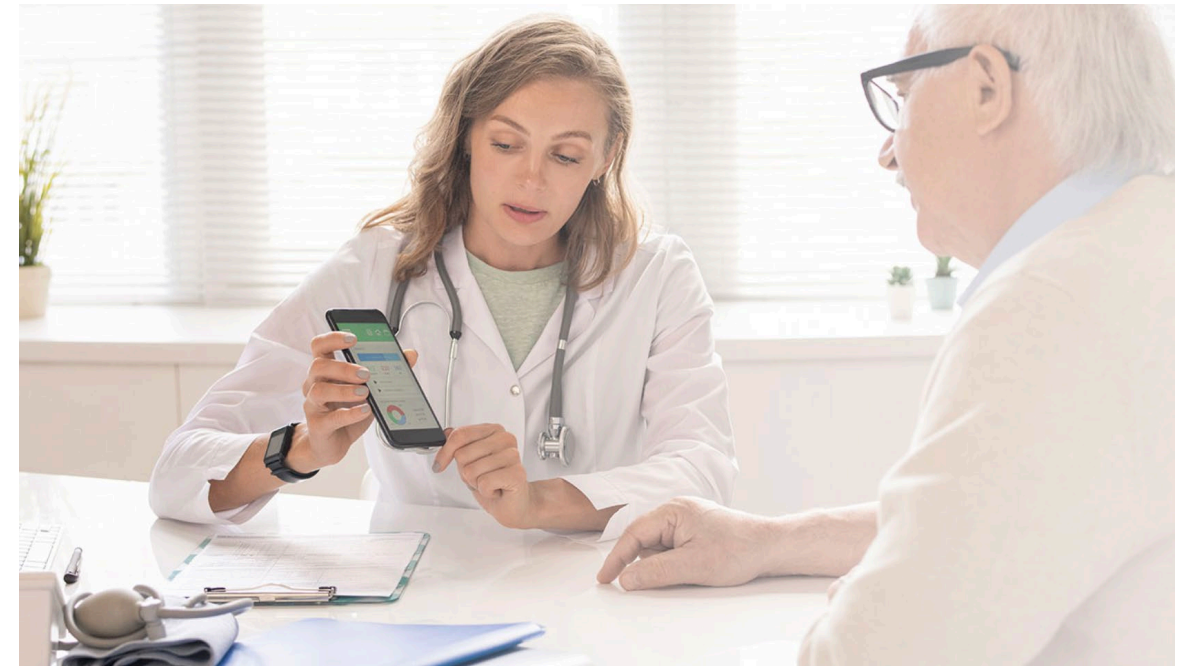
Co-ordinated by Novartis and UMC Utrecht  
EMA is a partner





Some areas of RWE generation methodology are being prepared for EMA ITF submission  
One or two areas are candidates for EMA Qualification Advice

- Equip and empower citizens with digital information tools, the **Gravitate Lens (G-Lens)**
- offering trustworthy, up-to-date and personalised medicines information sourced from the ePI
- targeting
  - safe use of medicines
  - confident, active, and responsive in their patient journey
  - better health outcomes and quality of life



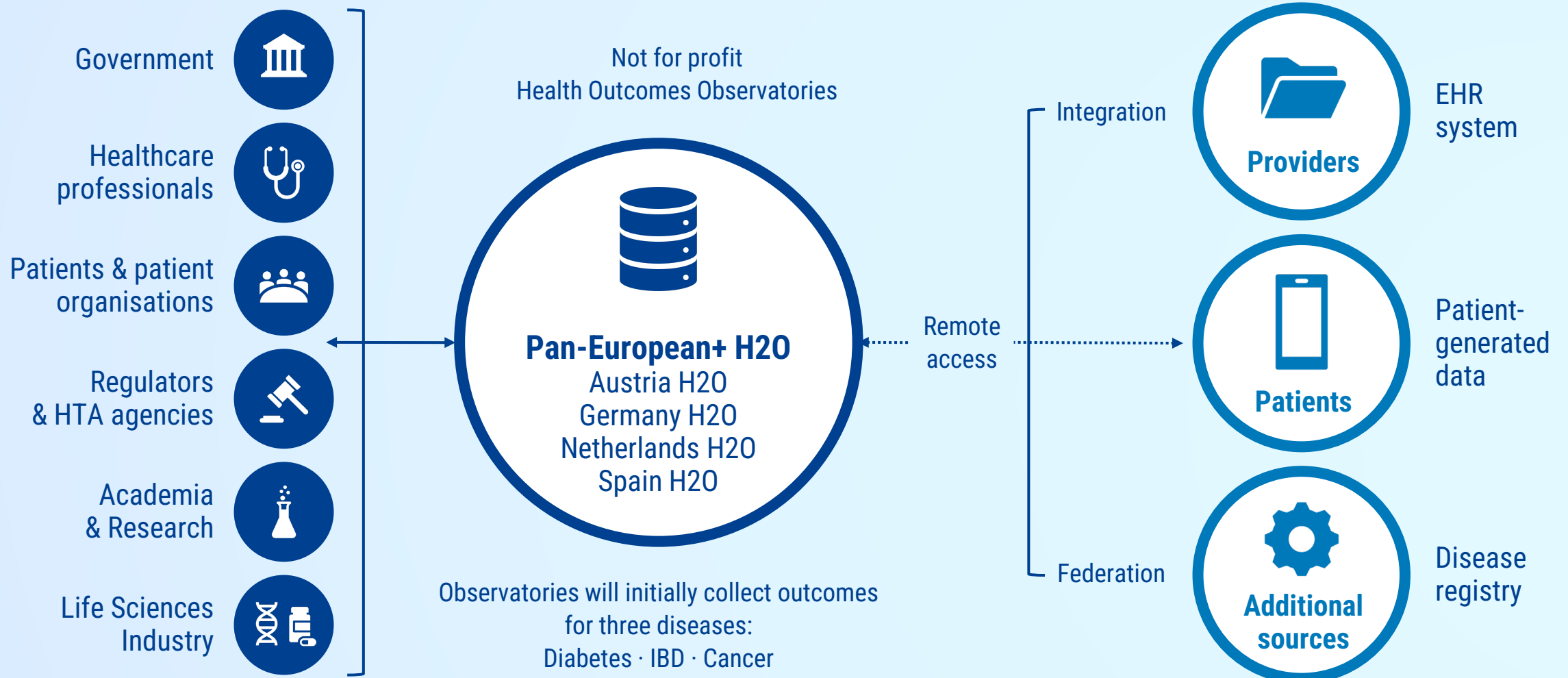


# H<sub>2</sub>O

## First European scale network for health outcomes data



innovative medicines initiative





# How do we reach societal acceptability?

- Data protection regulations prioritise the **rights of the individual** to privacy
- Clinical research can bring important benefits **to society**
- Many surveys indicate **patients are in favour** of their data being re-used for research
- The public need **greater transparency** about why and how health data are used, safeguarded, and the benefits of that use

**We need to find the right balance between the rights of the individual and the benefits for society**

# The challenge with gaining public acceptance of health data reuse

Individual level health data	Population level health data	Big health data
<p>EHR systems, apps, sensors, genomics, Clinical Decision Support, AI guidance</p> <p><b>Used for:</b></p> <ul style="list-style-type: none"><li>• Health status monitoring</li><li>• Continuity of care (including the patient and caregivers)</li><li>• Care pathway tracking, clinical workflow management</li><li>• Real-time feedback and guidance to patients and clinicians</li><li>• Personalised medicine</li><li>• Disease interception, prevention and wellness</li><li>• Healthcare provider reimbursement</li></ul>	<p>EHR systems, regional &amp; national eHealth infrastructures</p> <p><b>Reused for:</b></p> <ul style="list-style-type: none"><li>• Healthcare provider performance and planning</li><li>• Quality and safety, care pathway optimisation</li><li>• Medical device and algorithm refinement</li><li>• Pharmacovigilance</li><li>• Public health surveillance</li><li>• Public health strategy</li><li>• Health services and resource planning</li></ul>	<p>national &amp; international research infrastructures, federated query research platforms + cross-sectoral infrastructures &amp; services</p> <p><b>Reused for:</b></p> <ul style="list-style-type: none"><li>• Epidemiology</li><li>• Digital innovation: devices, sensors, apps</li><li>• AI development</li><li>• Personalised medicine and bio-marker research</li><li>• Diagnostics development</li><li>• Drug development</li><li>• Disease understanding and stratification</li></ul>

Decreasing public understanding of why and how data are used

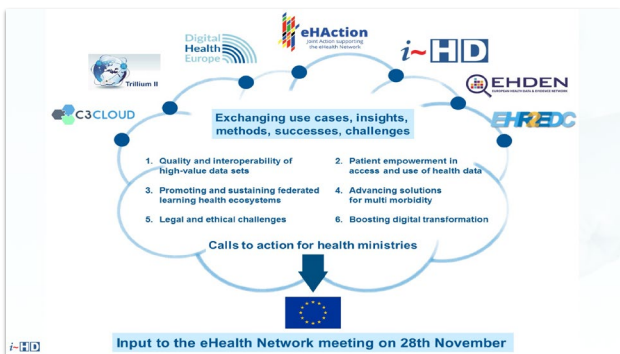
Increasingly unfamiliar data users

Increasing time from data use to demonstrated value

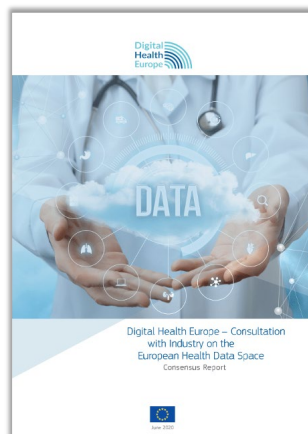
Increasing distance of data results from the patient

Perceived lessening choice and greater cybersecurity risk = harder to trust

# Example ecosystem events and reports



Joining the Dots synergies conference  
Nov 2019



DigitalHealth Europe project:  
EHDS: Policy White Paper, industry consultation, patient and citizen consultation 2020

**Acceptance of the European Health Data Space**

Hugo van Haastert, Policy Officer, European Reference Networks and Digital Health, DG SANTE

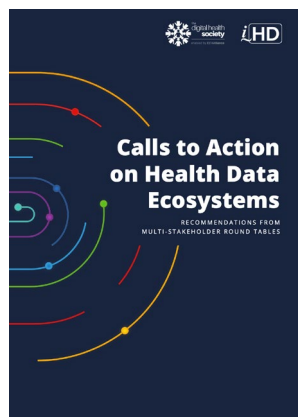
Renata Palen, Policy Officer, Well-Being and Ageing, DG CNECT/H3

Dipak Kalra, President, The European Institute for Innovation through Health Data (i-HD)

eHealth Stakeholder Group member



TEHDAS expert WP8, WP5 (WP6)



- 1. Raise the digital literacy & skills of all stakeholders
- 2. Generate and value trustworthy Real World Evidence
- 3. Accelerate interoperability across Europe and globally
- 4. Demonstrate benefits to society from data access, use and reuse
- 5. Adopt a risk stratification approach
- 6. Build a trustworthy framework for data access and use
- 7. Adopt a transformational approach to health data



Multi-stakeholder consensus events and reports 2020-21, joint with DHS, sponsored by MS, J&J and MSD

## Data Protection



GDPR  
HIPAA Privacy Rule



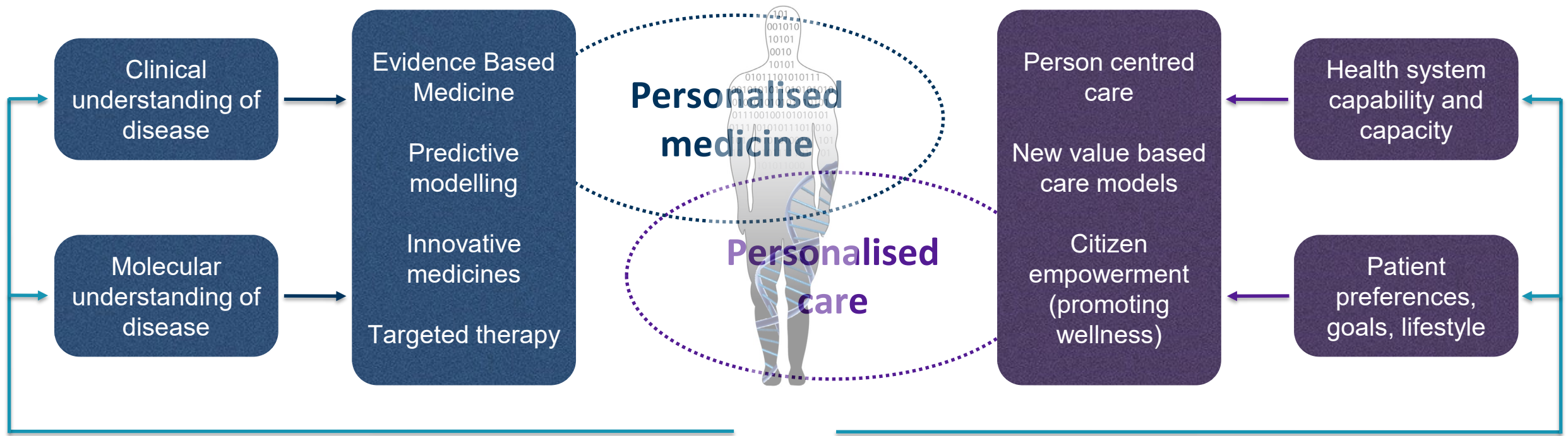
## Data Altruism



Data Governance Act  
(Health Record Banks)

- ☑ Public preferences respected
- ☑ Societally acceptable data uses
- ☑ Agreed minimum safeguards
- ☑ Codes of data conduct widely upheld
- ☑ Accountability for use
- ☑ Public involvement and transparency
- ☑ Visible societal benefits

# Personalised Health and Learning Health Systems...



...need all stakeholders to collaborate, to maximise the insights we can gain from health data